



## SEC Municipal Advisor Rule

*The Securities Exchange Committee's (SEC) Municipal Advisor Rule was mandated by the Dodd-Frank Wall Street reform and Consumer Protection Act. The rule governs the definition, registration, and regulation of municipal advisors. The notice below represents that Adams County is aware of the rule and that the county utilizes a registered municipal advisor that will, among other things, assist in evaluating recommendation from investment banking firms.*

Notice: Posted 1/24/19

Adams County has retained an independent registered municipal advisor. Adams County is represented by and will rely on its municipal advisor Piper Jaffray and its advisor Jonathan Heroux to provide advice on proposals from financial services firms concerning the issuance of municipal securities and municipal financial products (including investments of bond proceeds and escrow investments (if applicable)). This certificate may be relied upon until 1/31/20. Proposals may be addressed to Adams County Finance Department at 4430 South Adams County Parkway, Suite C4000A Brighton, CO 80601. If the proposal received will be seriously considered by Adams County, we will share the document with our municipal advisor. Please note that aside from regulatorily mandated correspondence between an underwriter and municipal advisor, the underwriter should not speak directly with or send documents directly to the municipal advisor unless specifically directed to by the issuer.